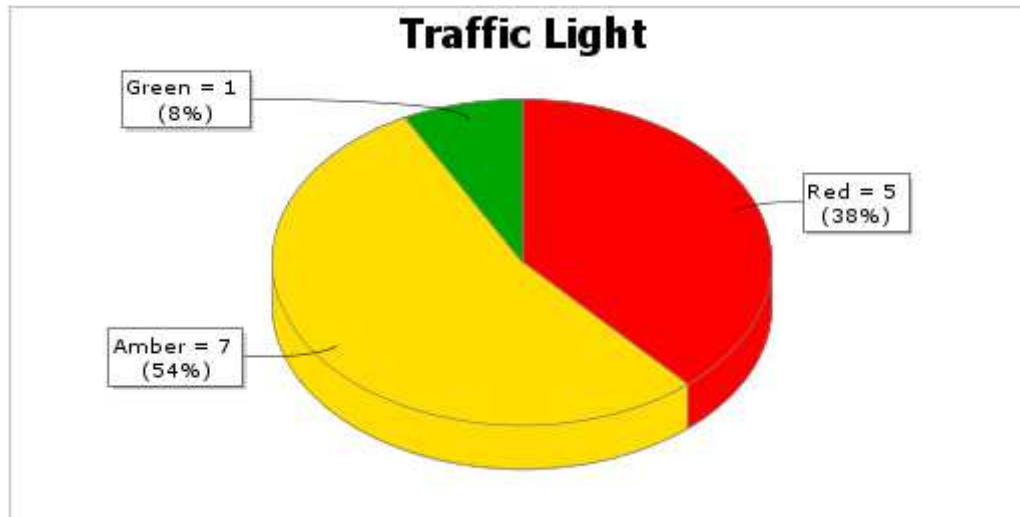





## Corporate and Strategic Risk Register 2011-12 - Quarter 4





Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note
12-CR-ENV-01 Affordable Housing	Failure to find a balance between the delivery of affordable housing, in order to meet the need for accommodation, and sourcing sites	3	3	3	3	9		2	2	Adherence to the Core Strategy timetable coupled with consistent communication and community engagement. Strong political leadership to	Shortfall in a demonstrable supply of land for housing within 5 years being addressed through Development Management processes.



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	acceptable to the community									ensure adoption of Local Development Framework	Engagement with ward members and local councils to supplement work programme of LDF member working group. Neighbourhood plans being explored with interested local councils supported by external funding bids.
12-CR-ENV-02 Risk of increased recycling costs	Risk of increased costs due to fluctuating pricing structure for recyclable materials and increasing cost of landfill.	3	3	3	3	9		3	1	Explore option of arranging own bulk transport between transfer station and MRF if ECC recharges for transport are unfavourable	Procurement exercise undertaken to obtain best prices for co-mingled dry recyclables and new 5 year contract let.
12-CR-ENV-03 Lack of public engagement in recycling scheme	Public fails to engage in new recycling scheme resulting in recycling rate not increasing.	3	2	3	1	3		3	1	Continued communication with residents about the importance of recycling to further increase the district's recycling rate. Introduction of waste service improvements including garden waste	Target for garden waste collection subscription achieved in Q1 of new system. Caddies and promotional material to be distributed.


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										collection	
12-CR-ENV-04 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints	3	2	3	2	6		2	2	Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	One officer has received training on the issuing of FPNs. Policies and procedures have been drawn up. The Police have indicated a willingness to assist with CSOs on an ad hoc basis depending on police operational needs. Members have approved reduced penalties for prompt payment to improve the effectiveness of FPNs. Patrols to commence by end of May 2012.
12-CR-ENV-05 Lack of available funds for Highways improvement	Little money available for Highways improvements due to pressures on County Council budget	3	3	3	3	9		2	3	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	Highways Panel meetings programmed. UDC will provide ranger service instead of contractor maximising



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											balance of ECC budget available for local highways improvements .
12-CR-FIN 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	4	1	4	1	4		4	1	A Corporate Team was established in 2010. Savings achieved to date have been approx £1.8 million per annum	Savings achieved to date £1.8 million. Major projects being implemented including waste service changes and introduction of IDOX system for planning, environmental health, land charges, building control, and corporate mapping and electronic filing should realise a further £1.1 million.
12-CR-FIN 02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	3	3	3	3	9		2	2	Work with local government partners to share the risks and benefits of reform	Discussions are being held between Essex local authorities about opportunities for joint working and risk sharing. The updated MTFS includes prudent


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											assumptions, but there is huge uncertainty.
12-CR-PAR-01 Key partners unable to contribute to LSP	Key partners are unable to contribute to the LSP because of changes elsewhere in the public sector. The concept of the Big Society may be difficult to communicate	2	3	2	3	6		2	2	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Review the working of the LSP to ensure it meets the needs of the council, its partners and the community.	A new structure for the LSP was derived from a facilitated workshop in March and subsequent board meeting in April. The LSP remains strongly guided by the principles of sustainability and is concentrating its areas of work to mirror those of the countywide Whole Place Community Budget project. These are: health and wellbeing, community safety, economy and families with complex needs. None of these groups will be chaired by


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											UDC Members. There remains a strong commitment from partners. After a period of absence, health services are again represented, adding further strength to the partnership.
12-CR-PEO-01 Failure to embed Equality & Diversity and H&S	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	2	3	2	6		3	1	Necessary information available to all staff and regular training given	Steady progress being made in partnership with South Cambs DC. Officer champions appointed for each workstream. Equality Duty information required by 2010 Act was ready and published by 31 January deadline. Equality objectives were agreed by Cabinet in April.
12-CR-PEO-02 Failure to	Failure to provide and	3	2	2	2	4		2	2	Develop and implement a	Economic Development

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provide and implement a sound economic strategy	implement a sound economic strategy which could lead to a failure to support existing businesses and attract new investment									sound economic strategy in conjunction with West Essex partners and allocate budget to support this work	Officer appointed and commenced role on 30 April.
12-CR-PEO-03 Risk of adverse impact from reform of council tax benefits	The reform of council tax benefits could adversely impact some people currently in receipt of benefits.	3	2	3	2	6		2	2	Work with JobCentre Plus to promote change to system and maximise employment opportunities. Work with other Essex Councils to develop a single County-wide scheme to give continuity to claimants moving within the County.	The council is part of an Essex-wide group looking to develop a single scheme. Additional senior resource has been employed to implement the changes. 2013/14 MTFS assumptions are based on the council meeting the 10% funding shortfall. Please see May 10 Cabinet report.
12-SR-01 Disruption of Council business	Disruption of council business e.g. due to loss of building,	4	2	4	2	8		3	2	Ensure that emergency plans are in place to provide	Business Continuity Plan review 75% completed.





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	widespread staff absence or extreme weather conditions									frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents.  Ensure relevant HR policies are in place and understood	Accommodation and updated media plan to be finalised.
12-SR-02 Risk of major emergency	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	1	2	1	2		2	1	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Review of the emergency plan currently underway, with completion due by 30 June 2012. Good working relationships exist with the Emergency Planning Team at Stansted Airport and in other authorities. The DEPO has organised and participated in joint emergency planning exercises with



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											these teams.

Risk Status	
	Alert
	High Risk
	Warning
	OK